

FORM PTO-1390  
(Rev 5-93)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**ZAHFRI P422US**

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (If known, see 37 C.F.R.1.5)

**10/089476**

INTERNATIONAL APPLICATION NO.

**PCT/EP00/09990**

INTERNATIONAL FILING DATE

**October 11, 2000**

PRIORITY DATE CLAIMED

**October 16, 1999**

TITLE OF INVENTION

**CONTINUOUSLY VARIABLE VEHICLE TRANSMISSION**

APPLICANT(S) FOR DO/EO/US

**Gerhard GUMPOLTSBERGER**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau: (PCT/IB/308 mailed **26 April 2001**).
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)) is attached.
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11. to 16. below concern other document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98 with PTO FORM 1449.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification w/Marked-Up Version of Amended Specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

- ☒ Preliminary Examination Report
- ☒ Annexes to Pre. Ex. Rep.
- ☒ International Search Report
- ☒ German Novelty Search Report
- ☒ 10 copies of citations
- ☒ Form PCT/IB/308
- ☒ International Publ. No. **WO 01/29451** (Face page only)

- ☒ Copy of Request
- ☒ Submission of Formal Drawings
- ☒ 3 sheets of formal drawings
- ☒ Abstract
- ☐ Applicant Claims Small Entity Status
- ☐ Copy of Notification of File Missing Parts
- ☒ German Language Specification

**CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that this Transmittal Letter and the papers indicated as being transmitted therewith is being deposited with the United States Postal Service on this date **March 28, 2002** in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number **EL 918840098 US** addressed to the: Box PCT, Assistant Commissioner of Patents, Washington, D.C. 20231.

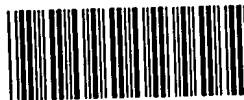
**Anthony G. M. Davis**

(typed or printed name of person mailing paper)

*Anthony G. M. Davis*

(signature of person mailing paper)

PATENT & TRADEMARK OFFICE



020210

17. ■ The following fees are submitted:

**Basic National Fee (37 CFR 1.492(a)(5):**

Search Report has been prepared by the EPO or JPO ..... \$890.00

International preliminary examination fee paid to USPTO (37 CFR 1.492)(a)(1) .. \$710.00

No international preliminary examination fee paid to USPTO (37 CFR 1.492)(a)(2)  
but international search fee paid to USPTO (37 CFR 1.445(a)(2)). ..... \$740.00Neither international preliminary examination fee (37 CFR 1.492)(a)(3)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$1040.00International preliminary examination fee paid to USPTO (37 CFR 1.492)(a)(4)  
and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months  
from the earliest claimed priority date (37 CFR 1.492(e)).

Claims	Number Filed	Number Extra	Rate		
Total Claims	10 - 20 =	0	x \$18.00	0	
Independent Claims	1 - 3 =	0	x \$84.00	0	
Multiple dependent claim(s) (if applicable)			+ \$280.00	0	
TOTAL OF ABOVE CALCULATIONS =				0	
Reduction by 1/2 for filing by small entity, if applicable. <b>Applicant Claims Small Entity Status.</b> (Note 37 CFR 1.9, 1.27, 1.28).				0	
SUBTOTAL =				890	
Processing fee of \$130.00 for furnishing the English translation later the <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				0	
TOTAL NATIONAL FEE =				0	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				40	
TOTAL FEES ENCLOSED =				930	
				Amount to be: refunded	\$
				charged	\$

a. ■ A check in the amount of \$ 930.00 to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. 04-0213 in the amount of \$        to cover the above fees.  
A duplicate copy of this sheet is enclosed.c. ■ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to  
Deposit Account No. 04-0213. A duplicate copy of this sheet is enclosed.**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or  
(b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

*Anthony M. Davis*  
 Anthony M. Davis -- Registration No. 27,868  
 Davis & Gajold, P.L.L.C.  
 Fourth Floor  
 500 North Commercial Street  
 Manchester, NH 03101-1151  
 Telephone (603) 624-9220  
 Telefax (603) 624-9229

PATENT &amp; TRADEMARK OFFICE



020210

10/089476

JG.3 Rec'd PCT/PTO 28 MAR 2002

03/28/02

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Gerhard GUMPOLTSBERGER  
Serial no. :  
For : CONTINUOUSLY VARIABLE VEHICLE  
TRANSMISSION  
Docket : ZAHFRI P422US

**BOX PCT**

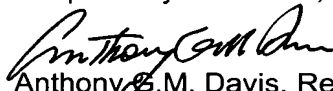
The Commissioner of Patents and Trademarks  
Washington, D.C. 20231

**SUBMISSION OF FORMAL DRAWINGS**

Enclosed please find three (3) sheets of formal drawings which are to be entered in this case.

In the event that there are any fee deficiencies or additional fees are payable, please charge the same or credit any overpayment to our Deposit Account (Account No. 04-0213).

Respectfully submitted,



Anthony B.M. Davis, Reg. No. 27,868

**Customer No. 020210**

Davis & Bujold, P.L.L.C.

Fourth Floor

500 North Commercial Street

Manchester NH 03101-1151

Telephone 603-624-9220

Facsimile 603-624-9229

E-mail: [patent@davisandbujold.com](mailto:patent@davisandbujold.com)